



## **Two Birds One Stone: Signcryption using RSA**

Wenbo Mao, John Malone-Lee<sup>1</sup>  
Trusted E-Services Laboratory  
HP Laboratories Bristol  
HPL-2002-293  
October 30<sup>th</sup>, 2002\*

E-mail: [wm@hplb.hpl.hp.com](mailto:wm@hplb.hpl.hp.com), [malone@cs.bris.ac.uk](mailto:malone@cs.bris.ac.uk)

signcryption,  
provable  
security, RSA

Signcryption is a public key primitive proposed by Zheng to achieve the combined functionality of digital signature and encryption in an efficient manner. We present a signcryption scheme based on RSA and provide proofs of security in the random oracle model for its privacy and unforgeability. Both proofs are under the assumption that inverting the RSA function is hard. Our scheme has two very appealing aspects to it. First of all it does not use any symmetric encryption, so our security analysis is based on a number theoretic assumption alone, albeit in the random oracle model. Secondly it offers non-repudiation in a very straightforward manner.

\* Internal Accession Date Only

Approved for External Publication

1 Department of Computer Science, Merchant Venturers Building, Woodland Road, University of Bristol, Bristol BS8 1UB

© Copyright Hewlett-Packard Company 2002

# Two Birds One Stone: Signcryption using RSA

W. Mao<sup>1</sup> and J. Malone-Lee<sup>2</sup>

<sup>1</sup> Hewlett-Packard Laboratories,  
Filton Road,  
Stoke Gifford,  
Bristol,  
BS34 8QZ, UK.

<sup>2</sup> University of Bristol, Department of Computer Science,  
Merchant Venturers Building,  
Woodland Road,  
Bristol, BS8 1UB, UK.  
`wm@hplb.hpl.hp.com`  
`malone@cs.bris.ac.uk`

**Abstract.** Signcryption is a public key primitive proposed by Zheng [14] to achieve the combined functionality of digital signature and encryption in an efficient manner. We present a signcryption scheme based on RSA and provide proofs of security in the random oracle model [6] for its privacy and unforgeability. Both proofs are under the assumption that inverting the RSA function is hard.

Our scheme has two very appealing aspects to it. First of all it does not use any symmetric encryption, so our security analysis is based on a number theoretic assumption alone, albeit in the random oracle model. Secondly it offers non-repudiation in a very straightforward manner.

## 1 Introduction

Signcryption is a novel public key primitive first proposed by Zheng in 1997 [14]. A signcryption scheme combines the functionality of a digital signature scheme with that of an encryption scheme. It therefore offers the three services: privacy, authenticity and non-repudiation. Since these services are frequently required simultaneously, Zheng proposed signcryption as a means to offer them in a more efficient manner than a straightforward composition of digital signature scheme and encryption scheme. The title of [14] stated that it should be possible to achieve

$$\text{Cost}(\text{Signature \& Encryption}) \ll \text{Cost}(\text{Signature}) + \text{Cost}(\text{Encryption}), \quad (1)$$

and an ingenious scheme was proposed to meet such a goal.

It is only recently that research has been done on defining security for signcryption and providing security arguments for schemes [2, 3]. In [3] a scheme similar to the original one proposed in [14] is analysed. The model in [2] is slightly different in that it does not make (1) a requirement of signcryption. The

goal is simply to analyse primitives that achieve the combined functionality of signature and encryption.

Here we continue this line of research into provable security of signcryption schemes satisfying (1). We present a signcryption scheme based on the RSA trapdoor one-way function. Unlike [14], our scheme does not require any symmetric encryption. This means that our security analysis can be based on the sole assumption that the RSA function is one way, albeit in the random oracle model.

A second attractive feature of our scheme is that it offers non-repudiation in a very simple manner. Non-repudiation for signcryption is not a straightforward consequence of unforgeability like it is for digital signature schemes. The reason for this is that a signcrypted message is “encrypted”, as well as “signed”. Therefore, by default, only the intended receiver of a signcryption may verify its authenticity. If a third party is to settle a repudiation dispute over a signcryption it must have access to some information in addition to the signcryption itself. Of course the receiver could always surrender its private key but this is clearly unsatisfactory. It is often the case that several rounds of zero-knowledge are required. This is not the case for our scheme.

The scheme uses a padding scheme similar to PSS [7, 8]. The PSS padding scheme was originally designed to create a provably secure signature algorithm when used with RSA [7]. It was subsequently pointed out in [8] that a version of PSS could also be combined with RSA to create a provably secure encryption function. As demonstrated here, this makes PSS padding perfect for RSA based signcryption. The resulting scheme is very efficient in terms of bandwidth: a signcryption is half the size of a message signed and encrypted using standard techniques for RSA. It is for this reason that we give it the name Two Birds One Stone.

The remainder of this paper is organised as follows. In Section 2 we describe how our scheme works, first of all in an abstract manner and secondly when RSA is used. We present security definitions for signcryption in Section 3. Our security results are given in Section 4. We end by summing up our work and discussing future possibilities for research.

## 2 Two Birds One Stone (TBOS)

### 2.1 Abstract TBOS

The TBOS cryptosystem will make use of what we will call a *permutation with trapdoors*. A permutation with trapdoors  $f : \{0, 1\}^k \rightarrow \{0, 1\}^k$  is a function that requires some secret, or “trapdoor”, information to evaluate and some different secret information to invert. In the scheme below we will assume that the sender of messages, Alice, knows the secret information necessary to evaluate  $f$ , and the receiver, Bob, knows the secret information necessary to evaluate  $f^{-1}$ .

The scheme may be used to signcrypt messages from  $\{0, 1\}^n$ , where  $k = n + k_0 + k_1$  for integers  $k_0$  and  $k_1$ . Before  $f$  is applied to a message some random padding is applied. The padding used is similar to PSS [7, 8]. We describe how the scheme works below.

## Parameters

The scheme requires two hash functions

$$H : \{0, 1\}^{n+k_0} \rightarrow \{0, 1\}^{k_1} \text{ and } G : \{0, 1\}^{k_1} \rightarrow \{0, 1\}^{n+k_0}.$$

### Signcryption

For Alice to signcrypt a message  $m \in \{0, 1\}^n$  for Bob:

1.  $r \xleftarrow{\$} \{0, 1\}^{k_0}$
2.  $\omega \leftarrow H(m||r)$
3.  $s \leftarrow G(\omega) \oplus (m||r)$
4.  $c \leftarrow f(s||\omega)$
5. Send  $c$  to Bob

### Unsigncryption

For Bob to unsigncrypt a cryptogram  $c$  from Alice:

1.  $s||\omega \leftarrow f^{-1}(c)$
2.  $m||r \leftarrow G(\omega) \oplus s$
3. If  $H(m||r) = \omega$  accept  $m$   
Else reject

As it stands TBOS has no obvious way to provide non-repudiation. We discuss how this problem may be overcome in the next section.

## 2.2 RSA-TBOS

We now show how RSA is used to create something like a permutation with trapdoors, as in Section 2.1, for use with TBOS. We are not claiming that the resulting function is a permutation. This is not necessary for our proof of security.

To begin with a sender Alice generates an RSA key pair  $(N_A, e_A), (N_A, d_A)$ , with  $|N_A| = p_A \cdot q_B$  and  $|p_A| = |q_B| = k/2$ . A receiver Bob does likewise giving him  $(N_B, e_B), (N_B, d_B)$ . Using  $G$  and  $H$  as above we describe the scheme below. Here, if a bit string  $\alpha||\beta$  represents an integer, then  $\alpha$  represents the most significant bits of that integer.

### Signcryption

For Alice to signcrypt a message  $m \in \{0, 1\}^n$  for Bob:

1.  $r \xleftarrow{\$} \{0, 1\}^{k_0}$
2.  $\omega \leftarrow H(m||r)$
3.  $s \leftarrow G(\omega) \oplus (m||r)$
4. If  $s||\omega > N_A$  goto 1
5.  $c' \leftarrow (s||\omega)^{d_A} \bmod N_A$
6. If  $c' > N_B$ ,  $c' \leftarrow c' - 2^{k-1}$
7.  $c \leftarrow c'^{e_B} \bmod N_B$
8. Send  $c$  to Bob

### Unsigncryption

For Bob to unsigncrypt a cryptogram  $c$  from Alice:

1.  $c' \leftarrow c^{d_B} \bmod N_B$
2. If  $c' > N_A$ , reject
3.  $n \leftarrow c'^{e_A} \bmod N_A$
4. Parse  $n$  as  $s||\omega$
5.  $m||r \leftarrow G(\omega) \oplus s$
6. If  $H(m||r) = \omega$ , return  $m$
7.  $c' \leftarrow c' + 2^{k-1}$
8. If  $c' > N_A$ , reject
9.  $n \leftarrow c'^{e_A} \bmod N_A$
10. If  $n > N_B$ , reject
11. Parse  $n$  as  $s||\omega$
12. Parse  $G(\omega) \oplus s$  as  $m||r$
13. If  $\omega \neq H(m||r)$ , reject
14. Return  $m$

The point of step 6 in signcryption is to ensure that  $c' < N_B$ . If  $c'$  initially fails this test then we have  $N_A > c' > N_B$ . Since both  $N_A$  and  $N_B$  have  $k$ -bits we infer that  $c'$  also has  $k$ -bits and so the assignment  $c' \leftarrow c' - 2^{k-1}$  is equivalent to removing the most significant bit of  $c'$ . This gives us  $c' < N_B$  as required. Note that this step may cause some trial and error in unsigncryption. In particular it may be necessary to perform  $c'^{e_A} \bmod N_A$  twice (the two  $c'$ 's will differ by  $2^{k-1}$ ). It would have been possible to define an alternative scheme under which the trial and error occurs in signcryption. This would mean repeating steps 1-5 in signcryption with different values of  $r$  until  $c' < N_B$  was obtained.

Non-repudiation is very simple for RSA-TBOS. The receiver of a signcryption follows the unsigncryption procedure up until stage 2,  $c'$  may then be given to a third party who can verify its validity.

### 3 Security Notions for Signcryption Schemes

#### 3.1 IND-CCA2 for Signcryption Schemes

We take as our starting point the standard definition of indistinguishability of encryptions under against adaptive chosen ciphertext attack (IND-CCA2) for public key encryption schemes [1, 4, 5, 10, 11]. A public key encryption scheme enjoys IND-CCA2 security if it is not possible for an adversary to distinguish the encryptions of two messages of its choice under a particular public key, even when it has access to a decryption oracle for this public key. The adversary is able to query the decryption oracle before choosing its two messages and its queries may be determined given information gleaned from previous queries. The adversary is then given the challenge ciphertext i.e. the encryption under the public key in question of one of the two messages chosen at random. It is allowed to continue to query the decryption oracle subject to the condition that it does not query the challenge ciphertext itself. The adversary wins if it correctly guesses which of the two messages was encrypted.

In our definition of IND-CCA2 security for signcryption we allow the adversary access to an unsigncryption oracle for the target receiver's key in a similar manner to that described above for encryption schemes. The difference here is that an oracle for the target receiver's unsigncryption algorithm must be defined with respect to some sender's public key. We therefore consider an attack on two users: a sender and a receiver.

In the case of public key encryption schemes the adversary is able to encrypt any messages that it likes under the public key that it is attacking. This is not the case for signcryption schemes. The private key of the target sender is required in signcryption and so the adversary is not able to produce signcryptions on its own. We must therefore provide the adversary with a signcryption oracle for the keys of the target sender and the target receiver. For an encryption scheme the adversary is able to use its own choice of randomness to generate encryptions, we therefore allow the adversary to choose the randomness used by the signcryption oracle, except for challenge ciphertext generation.

We give a more concrete description of the attack below.

**Setup**

Using the global systems parameters two private/public key pairs  $(x_A, Y_A)$  and  $(x_B, Y_B)$  are generated for a target sender/receiver respectively.

**Find**

The adversary is given  $Y_A$  and  $Y_B$ , it is also given access to two oracles: a signcryption oracle for  $Y_A, Y_B$  and an unsigncryption oracle for  $Y_A, Y_B$ . The adversary is allowed to choose the random input as well as the message for the signcryption oracle. At the end of this phase the adversary outputs two messages  $m_0$  and  $m_1$  with  $|m_0| = |m_1|$ .

**Challenge**

A bit  $b$  is chosen uniformly at random. The message  $m_b$  is signcrypted under  $Y_A, Y_B$  to produce  $c^*$  which is given to the adversary.

**Guess**

The adversary may continue to query its oracles subject to the condition that it does not query its unsigncryption oracle with  $c^*$ . At the end of this phase the adversary outputs a bit  $b'$ . The adversary wins if  $b' = b$ .

If  $A$  is an adversary as described above we define its advantage as:

$$\mathbf{Adv}(A) = |2 \cdot \Pr[b' = b] - 1|.$$

We say that a signcryption is IND-CCA2 secure if the advantage of any polynomial-time adversary is a negligible<sup>1</sup> function of the security parameter of the scheme.

**3.2 Unforgeability of Signcryption Schemes**

We adapt the definition of existential unforgeability under adaptive chosen message attack [13] for signature schemes to the signcryption setting.

When using a signature scheme, the only private key used in signature generation belongs to the sender. An adversary can therefore be anyone, since there is no difference in the ability to forge signatures between a receiver of signed messages and a third party. For a signcryption scheme however, signature generation uses the receiver's public key as well as the sender's keys. In this instance there may be a difference in the ability to forge signcryptions between the receiver and a third party, since only the receiver knows the private key corresponding to its public key. With the above in mind we assume that an adversary has access to the private key of the receiver as well as the public key of the sender. It can therefore perform unsigncryption itself.

We allow an adversary to query a signcryption oracle for the target sender's private key. This oracle takes as input a message, and an arbitrary public key chosen by the adversary. The oracle returns the signcryption of the message under the target sender's key and the key chosen by the adversary.

---

<sup>1</sup> A function  $\epsilon(k)$  is *negligible* if for every  $c$  there exists a  $k_c$  such that  $\epsilon(k) \leq k^{-c}$  for all  $k \geq k_c$ .

We say that the adversary wins if produces a valid forged signcryption on some message under the target sender's public key. This message must never have been queried to a signcryption oracle during the attack.

If  $A$  is an adversary as described above we define its advantage as:

$$\mathbf{Adv}(A) = \Pr[A \text{ wins}].$$

We say that a signcryption scheme is existentially unforgeable under adaptive chosen message attack if the advantage of any polynomial-time adversary is a negligible function of the security parameter of the scheme.

## 4 IND-CCA2 Security of TBOS

### 4.1 The Underlying Hard Problem

If we assume that the secret information necessary to evaluate a permutation with trapdoors  $f$  is made public, then  $f$  becomes a standard *trapdoor one-way permutation*. We call this the *induced trapdoor one-way permutation* of  $f$ . First of all we consider the security of TBOS under the *partial-domain one-wayness* [12] of the induced trapdoor one-way permutation of  $f$ . Let us first state formally the definitions that we will use. Below  $f$  will be a trapdoor one-way permutation.

**Definition 1 (One-wayness).** *The function  $f$  is  $(t, \epsilon)$ -partial domain one-way if the success probability of any adversary  $A$  wishing to recover the pre-image of  $f(s||\omega)$  in time less than  $t$  is upper bounded by  $\epsilon$ . We state this as:*

$$\mathbf{Adv}_f^{ow}(A) \leq \Pr_{s,\omega}[A(f(s||\omega)) = s||\omega] < \epsilon.$$

For any  $f$  we denote the maximum value of  $\mathbf{Adv}_f^{ow}(A)$  over all adversaries running for time  $t$  as  $\mathbf{Adv}_f^{ow}(t)$ .

**Definition 2 (Partial-domain one-wayness).** *The function  $f$  is  $(t, \epsilon)$ -partial domain one-way if the success probability of any adversary  $A$  wishing to recover the partial pre-image of  $f(s||\omega)$  in time less than  $t$  is upper bounded by  $\epsilon$ . We state this as:*

$$\mathbf{Adv}_f^{pd-ow}(A) \leq \Pr_{s,\omega}[A(f(s||\omega)) = \omega] < \epsilon.$$

For any  $f$  we denote the maximum value of  $\mathbf{Adv}_f^{pd-ow}(A)$  over all adversaries running for time  $t$  as  $\mathbf{Adv}_f^{pd-ow}(t)$ .

**Definition 3 (Set partial-domain one-wayness).** *The function  $f$  is  $(l, t, \epsilon)$ -set partial domain one-way if the success probability of any adversary  $A$  wishing to output a set of  $l$  elements which contains the partial pre-image of  $f(s||\omega)$  in time less than  $t$  is upper bounded by  $\epsilon$ . We state this as:*

$$\mathbf{Adv}_f^{s-pd-ow}(A) \leq \Pr_{s,\omega}[\omega \in A(f(s||\omega))] < \epsilon.$$

For any  $f$  and  $l$  we denote the maximum value of  $\mathbf{Adv}_f^{s-pd-ow}(A)$  over all adversaries running for time  $t$  as  $\mathbf{Adv}_f^{s-pd-ow}(l, t)$ .

Suppose that an adversary is given  $c$  and successfully returns a set of  $l$  elements of which one is  $\omega$  such that  $f(s|\omega) = c$  for some  $s$ . It is now possible to break the partial-domain one-wayness of  $f$  by selecting one of these elements at random. This tells us that

$$\text{Adv}_f^{\text{pd-ow}}(t) \geq \text{Adv}_f^{s\text{-pd-ow}}(l, t)/l. \quad (2)$$

## 4.2 IND-CCA2 Security of Abstract TBOS

**Theorem 1.** *Let  $A$  be an adversary using a CCA2 attack to break TBOS (as defined in Section 2.1). Suppose that  $A$  has advantage  $\epsilon$  after running for time  $t$ , making at most  $q_g, q_h, q_s$  and  $q_u$  queries to  $G, H$ , the signcryption oracle and the unsigncryption oracle respectively. Suppose that TBOS is implemented with  $k$ -bit permutation with trapdoors  $f$  and let  $f'$  be the induced trapdoor one-way permutation of  $f$ . We have the following*

$$\text{Adv}_{f'}^{\text{pd-ow}}(t') \geq \frac{1}{q_g + q_h + q_s} \cdot (\epsilon - 2^{-k_0} \cdot (q_h + q_s) - 2^{-k_1} \cdot q_d)$$

where  $t' = t_g \cdot (q_g + q_h + q_s) + t_h \cdot (q_h + q_s) + t_s \cdot q_s + t_u \cdot q_u$ ,  $q_g$  is the time taken to simulate the random oracle  $G$  (in the proof of Lemma 1 below) and  $t_h, t_s$  and  $t_u$  are defined analogously.

This follows from (2) and the following lemma.

**Lemma 1.** *Using the notation of Theorem 1 we have*

$$\text{Adv}_{f'}^{s\text{-pd-ow}}(q_g + q_h + q_s, t') \geq \epsilon - 2^{-k_0} \cdot (q_h + q_s) - 2^{-k_1} \cdot q_d.$$

*Proof.* We will show how the adversary  $A$  may be used to break the set-partial domain one-wayness of  $f'$  by finding the partial pre-image of  $c^*$  chosen at random from the range of  $f'$ . Note that the adversary does not know the secret information necessary to evaluate  $f$ . The proof is similar to the corresponding proof in [8].

We will consider an attack on two users Alice, the target sender who knows how to evaluate  $f$ , and Bob, the target receiver who knows how to evaluate  $f^{-1}$ . We run adversary  $A$  on the input of all universal public parameters and the public keys of Alice and Bob. It is necessary to show how to respond to  $A$ 's queries to the random oracles  $G$  and  $H$  and the signcryption/unsigncryption oracles. We denote the algorithms to do this as  $G_{sim}, H_{sim}, S_{sim}$  and  $U_{sim}$  respectively and we describe them below. To make our simulations sound we keep two lists,  $L_G$  and  $L_H$  that are initially empty. The list  $L_G$  will consist of query/response pairs to the random oracle  $G$ . The list  $L_H$  will do the same for  $H$ . It will also store some extra information as described in  $H_{sim}$  below. At the end of the simulation we hope to find the partial pre-image of  $c^*$  among the queries in  $L_G$ .

$G_{sim}(\omega)$ If $(\omega, x) \in L_G$ for some $x$ : Return $x$ Else: $x \leftarrow \{0, 1\}^{n+k_0}$ Add $(\omega, x)$ to $L_G$ Return $x$	$H_{sim}(m  r)$ If $(m  r, \omega, c) \in L_H$ for some $\omega$ : Return $\omega$ Else: $\omega \leftarrow \{0, 1\}^{k_0}$ $x \leftarrow G_{sim}(\omega)$ $s \leftarrow x \oplus (m  r)$ Add $(m  r, \omega, f(s  \omega))$ to $L_H$ Return $\omega$
$S_{sim}(m  r)$ Run $H_{sim}(m  r)$ Search $L_H$ for entry $(m  r, \omega, c)$ Return $c$	$U_{sim}(c)$ If $(m  r, \omega, c) \in L_H$ for some $m$ : Return $m$ Else reject

Note that in  $H_{sim}$  above we assume that each query has form  $m||r$ . All this means is each query has length  $n + k_0$  bits and so may be parsed as  $m||r$  where  $m$  has  $n$  bits and  $r$  has  $k_0$  bits. We make this assumption because, in the random oracle model, it would not help  $A$  to make queries of length different from  $n + k_0$ .

We also allow  $A$  to make queries of the form  $m||r$  to  $S_{sim}$  i.e. we allow  $A$  to provide its own random input. This is consistent with a CCA2 attack on an encryption scheme such as RSA-PSS where an adversary can encrypt messages itself using its own random input.

At the end of the find stage  $A$  outputs  $m_0$  and  $m_1$ . We choose a bit  $b$  uniformly at random and supply the adversary with  $c^*$  as the signcryption of  $m_b$ . Suppose  $c^* = f(s^*||\omega^*)$ , this places the following constraints on the random oracles  $G$  and  $H$ :

$$H(m_b||r^*) = \omega^* \text{ and } G(\omega^*) = s^* \oplus (m_b||r^*). \quad (3)$$

We denote by  $\text{AskG}$  the event that during  $A$ 's attack  $\omega^*$  has ended up in  $L_G$ . We denote by  $\text{AskH}$  the event the query  $m||r^*$  has ended up in  $L_H$  for some  $m$ .

If  $\omega^* \notin L_G$ , then  $G(\omega^*)$  is undefined and so  $r^*$  is a uniformly distributed random variable. Therefore the probability that there exists an  $m$  such that  $m||r^* \in L_H$  is at most  $2^{-k_0} \cdot (q_h + q_s)$ . This tells us that

$$\Pr[\text{AskH} | \neg \text{AskG}] \leq 2^{-k_0} \cdot (q_h + q_s). \quad (4)$$

Our simulation  $U_{sim}$  can only fail if it outputs reject when it is presented with a valid ciphertext. We denote this event  $\text{UBad}$ . Suppose that  $U_{sim}$  is queried with  $c = f(s||\omega)$  and let  $m||r = G(\omega) \oplus s$ .

We may mistakenly reject a valid ciphertext if  $H(m||r) = \omega$ , while  $m||r$  is not in  $L_H$ . Suppose that this query occurs before  $c^*$  is given to  $A$  then, since  $m||r$  is not in  $L_H$ ,  $H(m||r)$  will take its value at random. If this query is made after  $c^*$  is given to  $A$  then  $c \neq c^*$  means that  $(m, r) \neq (m_b, r^*)$  and so (3) is irrelevant. In either case  $H(m||r)$  may take its value at random which means that

$$\Pr[\text{UBad}] \leq 2^{-k_1} \cdot q_d. \quad (5)$$

Let us define the event  $\text{Bad}$  as

$$\text{Bad} = \text{AskG} \vee \text{AskH} \vee \text{UBad}. \quad (6)$$

Let us denote the event that the adversary wins, i.e. it outputs  $b'$  such that  $b' = b$ , by  $S$ . In the event  $\neg\text{Bad}$  the bit  $b$  is independent of our simulations, and therefore independent of the adversary's view. We infer from this that

$$\Pr[S|\neg\text{Bad}] = \frac{1}{2}. \quad (7)$$

Also, in the event  $\neg\text{Bad}$ , the adversary interacts with a perfect simulation of random oracles and signcryption/unsigncryption oracles. This gives us

$$\Pr[S \wedge \neg\text{Bad}] \geq \frac{1}{2} + \frac{\epsilon}{2} - \Pr[\text{Bad}]. \quad (8)$$

From (7) we obtain

$$\Pr[S \wedge \neg\text{Bad}] = \Pr[S|\neg\text{Bad}] \cdot \Pr[\neg\text{Bad}] = \frac{1}{2} \cdot (1 - \Pr[\text{Bad}]). \quad (9)$$

Combining (8) with (9) gives us

$$\Pr[\text{Bad}] \geq \epsilon. \quad (10)$$

From (6) we have

$$\begin{aligned} \Pr[\text{Bad}] &\leq \Pr[\text{AskG} \vee \text{AskH}] + \Pr[\text{DBad}] \\ &= \Pr[\text{AskG}] + \Pr[\text{AskH} \vee \neg\text{AskG}] + \Pr[\text{DBad}] \\ &\leq \Pr[\text{AskG}] + \Pr[\text{AskH}|\neg\text{AskG}] + \Pr[\text{DBad}] \end{aligned} \quad (11)$$

Together (4), (5) and (11) give us

$$\Pr[\text{AskG}] \geq \epsilon - 2^{-k_0} \cdot (q_h + q_s) - 2^{-k_1} \cdot q_d. \quad (12)$$

The result follows.

### 4.3 IND-CCA2 Security of RSA-TBOS

We now adapt the result of Section 4.2 to give a proof of the IND-CCA2 security of RSA-TBOS (as defined in Section 2.2) in the random oracle model under the assumption that the RSA function is one-way.

As in Lemma 1 we will assume that there is an adversary  $A$  that runs for time  $t$  and has advantage  $\epsilon$  in breaking the IND-CCA2 security of RSA-TBOS after making at most  $q_g$ ,  $q_h$ ,  $q_s$  and  $q_u$  queries to  $G$ ,  $H$ , the signcryption oracle and the unsigncryption oracle respectively. Given an RSA public key  $(N_B, e_B)$ , with  $N_B = p_B \cdot q_B$  and  $|p_B| = |q_B| = k/2$ , and  $c^*$ , we will show how  $A$  may be used to compute the  $e_B$ -th root of  $c^*$  modulo  $N_B$ .

The first step is to generate an RSA key pair  $(N_A, e_A)$ ,  $(N_A, d_A)$  with  $N_A = p_A \cdot q_B$  where  $|p_A| = |q_B| = k/2$ . We use  $G_{sim}$ ,  $S_{sim}$  and  $U_{sim}$  from Lemma 1, we replace  $H_{sim}$  with the algorithm below.

$H_{sim}(m||r)$   
 If  $(m||r, \omega, c) \in L_H$  for some  $\omega$ , return  $\omega$   
 Else:  
 1.  $\omega \xleftarrow{r} \{0, 1\}^{k_0}$   
 2.  $x \leftarrow G_{sim}(\omega)$   
 3.  $s \leftarrow x \oplus (m||r)$   
 4. If  $s||\omega > N_A$ , goto 1  
 5.  $c' \leftarrow (s||\omega)^{d_A} \bmod N_A$   
 6. If  $c' > N_B$ ,  $c' \leftarrow c' - 2^{k-1}$   
 7.  $c \leftarrow c'^{e_B} \bmod N_B$   
 8. Add  $(m||r, \omega, c)$  to  $L_H$   
 9. Return  $\omega$

The event **Bad** is defined as in (6) in the proof of Lemma 1. In our simulation here we are again going to supply  $A$  with  $c^*$  as the challenge ciphertext. This gives us an extra consideration in our simulation. We say that our simulation is **Good** if (i)  $c^{*d_B} \bmod N_B < N_A$  and (ii)  $\gcd(c^{*d_B} \bmod N_B, N_A) = 1$ . Over the random choices of  $(N_B, e_B)$ ,  $(N_B, d_B)$ ,  $c^*$  and  $N_A$  we have  $\Pr[(i)] = 1/2$  and  $\Pr[(ii)|(i)] \geq 1 - 2^{-(k/2)+(3/2)}$ , hence

$$\Pr[\text{Good}] \geq (2^{-1} - 2^{-\frac{k}{2} + \frac{1}{2}}). \quad (13)$$

We may now use (12) in the proof of Lemma 1 to give us

$$\Pr[\text{AskG}|\text{Good}] \geq \epsilon - 2^{-k_0} \cdot -2^{-k_1} \cdot q_d \quad (14)$$

in our new simulation. We are interested in the event  $\text{AskG} \wedge \text{Good}$ . We have

$$\Pr[\text{AskG} \wedge \text{Good}] = \Pr[\text{AskG}|\text{Good}] \cdot \Pr[\text{Good}]. \quad (15)$$

Together (13), (14) and (15) tell us

$$\Pr[\text{AskG} \wedge \text{Good}] \geq (2^{-1} - 2^{-\frac{k}{2} + \frac{1}{2}}) \cdot (\epsilon - 2^{-k_0} \cdot (q_h + q_s) - 2^{-k_1} \cdot q_d) = \delta. \quad (16)$$

Now, in the event  $\text{AskG} \wedge \text{Good}$  we recover a set  $L_G$  of size

$$q = q_g + q_h + q_s, \quad (17)$$

containing the  $k_1$  least significant bits of  $z_0^*$  where  $(z_0^{*d_A} \bmod N_A)^{e_B} \bmod N_B = c^*$ . Call these bits  $\omega_0$ .

Once we have run our simulation once with challenge ciphertext  $c^*$  and obtained  $L_G$  we do the following:

For  $i = 1, \dots, n-1$ :

$$\alpha_i \xleftarrow{r} \mathbb{Z}_{N_B}^*$$

$$c_i^* \xleftarrow{r} c^* \cdot \alpha_i^{e_B} \bmod N_B$$

Run the simulation with challenge ciphertext  $c_i^*$   
 keeping a list  $L_{G_i}$  for  $G$  query/response pairs

For  $i = 1, \dots, n-1$  after each run we end up with a list  $L_{G_i}$  of size  $q$  containing the  $k_1$  least significant bits of  $z_0^* \cdot \beta_i \bmod N_A$  where  $\beta_i = \alpha_i^{e_A} \bmod N_A$  with probability at least that of AskG∧Good as given in (16). Now, if each of the  $n$  runs of our simulation were successful, we have  $\omega_0 \in L_G, \omega_1 \in L_{G_1}, \dots, \omega_{n-1} \in L_{G_{n-1}}$  such that

$$\begin{aligned} z_0^* &= \omega_0 + 2^{k_1} x_0 \bmod N_A \\ \beta_i \cdot z_0^* &= \omega_i + 2^{k_1} \cdot x_i \bmod N_A \text{ for } i = 1, \dots, n-1 \end{aligned} \quad (18)$$

where  $z_0^*$  and  $x_0, \dots, x_n$  are unknown. Now, for  $i = 1, \dots, n-1$  let

$$\mu_i = 2^{-k_1} \cdot (\beta_i \omega_0 - \omega_i) \bmod N_A \text{ and } \gamma_i = 2^{-k_1} \cdot \beta_i \bmod N_A. \quad (19)$$

From (18) and (19) we derive the following for  $i = 1, \dots, n-1$

$$x_i - \gamma_i \cdot x_0 = \mu_i \bmod N_A. \quad (20)$$

We have the following lemma from [9].

**Lemma 2.** *Suppose  $2^{k-1} \leq N_A < 2^k$ ,  $k_1 > 64$  and  $k/(k_1)^2 \leq 2^{-6}$ . If the set of equations (20) has a solution  $\mathbf{x} = (x_0, \dots, x_{n-1})$  such that  $\|\mathbf{x}\|_\infty < 2^{k-k_1}$ , then for all values of  $\gamma = (\gamma_1, \dots, \gamma_{n-1})$ , except for a fraction*

$$\frac{2^{n \cdot (k-k_1+n+2)}}{N_A^{n-1}} \quad (21)$$

*of them, this solution is unique and can be computed in time polynomial in  $n$  and in the size of  $N_A$ .*

It is also shown in [8] that taking  $n = \lceil (5k)/(4k_1) \rceil$  gives

$$\frac{2^{n \cdot (k-k_1+n+2)}}{N_A^{n-1}} \leq 2^{-k/8}. \quad (22)$$

If we have  $n$  successful runs of our simulation we still do not know which elements of the  $L_G$ 's form the equations (20) and so to use this method we will have to apply the Lemma 2 algorithm  $q^n$  times. Once we have a solution to (20) we know  $z_0^*$  such that  $c^* = ((z_0^{d_A} \bmod N_A))^{e_B} \bmod N_B$ . From this we may use  $d_A$  to compute  $z^*$ , the  $e_B$ -th root of  $c^*$ , as

$$z^* = z_0^{*d_A} \bmod N_A. \quad (23)$$

Now, from (16), (20), (22), (23) and Lemma 2 we obtain the result below.

**Theorem 2.** *Let  $A$  be an adversary that uses a CCA2 attack to attempt to break RSA-TBOS with security parameter  $k$ . Suppose that  $A$  succeeds with probability  $\epsilon$  in time  $t$  after making at most  $q_g, q_h, q_s$  and  $q_u$  queries to  $G, H$ , the signcryption oracle and the unsigncryption oracle respectively. In the random oracle model for  $G$  and  $H$  we may use  $A$  to invert RSA with probability  $\epsilon'$  in time  $t'$  where*

$$\begin{aligned} \epsilon' &\geq \delta^n - 2^{-k/8}, \\ t' &\leq n \cdot t + (q_g + q_h + q_s)^n \cdot \text{poly}(k) + 2 \cdot n \cdot (q_h + q_s) \cdot T, \end{aligned}$$

$n = \lceil (5k)/(4k_1) \rceil$ , and  $T$  is the time it takes for a modular exponentiation.

## 5 Unforgeability of RSA-TBOS

Before we give our security result we must discuss exactly what constitutes a forged RSA-TBOS signcryption. Suppose that we have a user of RSA-TBOS with public key  $(N_B, e_B)$ . This user can produce a random  $c \in \mathbb{Z}_{N_B}^*$  and claim to have forged a signcryption from user who owns key  $(N_A, e_A)$ . Without knowing  $(N_B, d_B)$  it would not be possible to verify this claim. A forged signcryption by the owner of  $(N_B, d_B)$  must therefore be presented by following the unsigncryption procedure up until stage 2,  $c'$  may then be given to a third party who can verify its validity.

Let us suppose that we have an RSA public key  $(N_A, e_A)$  and  $c \in \mathbb{Z}_{N_A}^*$  whose  $e_A$ -th root, modulo  $N_A$ , we wish to compute. We show in the appendix how to use  $A$ , a forging adversary of RSA-TBOS, to do this. This gives the result below.

**Theorem 3.** *Let  $A$  be an adversary attempting to forge RSA-TBOS signcryptions. Let  $k$  be the security parameter of RSA-TBOS. Suppose that  $A$  succeeds with probability  $\epsilon$  in time  $t$  after making at most  $q_g$ ,  $q_h$  and  $q_s$  queries to  $G$ ,  $H$  and the signcryption oracle respectively. In the random oracle model we may use  $A$  to invert RSA with probability  $\epsilon'$  in time  $t'$  where*

$$\begin{aligned} \epsilon' &\geq \epsilon - q_s \cdot \left( 2^{-(k_0+1)} \cdot (2q_h + q_s - 1) + 2^{-(k_1+1)} \cdot (2q_g + 2q_h + q_s - 1) \right) \\ &\quad - 2^{-(k_1+1)} \cdot q_h \cdot (2q_g + q_h + 2q_s - 1), \\ t' &\leq t + (q_h + 2q_s) \cdot T, \end{aligned} \tag{24}$$

where  $T$  is the time it takes for a modular exponentiation.

## 6 Conclusion

We have proposed provably secure signcryption scheme based on the RSA function. This scheme is very attractive in that it produces very compact signcryptions with little extra computational cost. A second positive attribute of our scheme is that it does not use any symmetric key encryption. This means that our security analysis is under the sole assumption that the RSA function is one-way, albeit in the random oracle model. Also, our scheme offers non-repudiation in a very simple manner.

In the future it would be interesting to adapt these ideas to produce a scheme that is provably secure under the stronger definitions of security proposed for signcryption in [3].

Of independent interest we have introduced the concept of a permutation with trapdoors. It would be interesting to know if any other protocol problems could be solved with such a primitive.

## 7 Acknowledgements

This work is partly conducted under the Fifth Framework IST Project ‘‘CASENET’’ (IST-2001-32446) funded by the European Union. Thanks to Nigel Smart for

pointing out a good acronym for our scheme and to David Soldera for discussion on an early draft of this paper.

## References

1. M. Abdalla, M. Bellare and P. Rogaway. The Oracle Diffie-Hellman Assumptions and an Analysis of DHIES. In *Topics in Cryptology - CT-RSA 2001*, volume 2020 of *Lecture Notes in Computer Science*, pages 143-158. Springer-Verlag, 2001.
2. J. H. An and Y. Dodis and T. Rabin. On the Security of Joint Signature and Encryption, In *Advances in Cryptology - EUROCRYPT 2002*, volume 2332 of *Lecture Notes in Computer Science*, pages 83-107. Springer-Verlag, 2002.
3. J. Baek, R. Steinfeld and Y. Zheng. Formal Proofs for the Security of Signcryption. In *Public Key Cryptography 2002*, volume 2274 of *Lecture Notes in Computer Science*, pages 80-98. Springer-Verlag, 2002.
4. M. Bellare, A. Desai, D. Pointcheval and P. Rogaway. Relations Among Notions of Security for Public-Key Encryption Schemes. In *Advances in Cryptology - CRYPTO '98*, volume 1462 of *Lecture Notes in Computer Science*, pages 26-45. Springer-Verlag, 1998.
5. M. Bellare and P. Rogaway. Optimal Asymmetric Encryption - How to Encrypt with RSA. In *Advances in Cryptology - EUROCRYPT '94*, volume 950 of *Lecture Notes in Computer Science*, pages 92-111. Springer-Verlag, 1994.
6. M. Bellare and P. Rogaway. Random Oracles are Practical: A Paradigm for Designing Efficient Protocols. In *Proceedings of the First ACM Conference on Computer and Communications Security*, pages 62-73. 1993.
7. M. Bellare and P. Rogaway. The Exact Security of Digital Signatures - How to sign with RSA and Rabin. In *Advances in Cryptology - EUROCRYPT '96*, volume 1070 of *Lecture Notes in Computer Science*, pages 399-416. Springer-Verlag, 1996.
8. J.-S. Coron and M. Joye and D. Naccache and P. Paillier. Universal Padding Schemes for RSA. In *Advances in Cryptology - CRYPTO 2002*, volume 2442 of *Lecture Notes in Computer Science*, pages 226-241. Springer-Verlag, 2002.
9. J.-S. Coron and M. Joye and D. Naccache and P. Paillier. Universal Padding Schemes for RSA. Full version from <http://eprint.iacr.org/2002/115/>. 2002.
10. R. Cramer and V. Shoup. A Practical Public Key Cryptosystem Provably Secure Against Adaptive Chosen Ciphertext Attack. In *Advances in Cryptology - CRYPTO '98*, volume 1462 of *Lecture Notes in Computer Science*, pages 13-25. Springer-Verlag, 1998.
11. R. Cramer and V. Shoup. Design and Analysis of Practical Public-Key Encryption Schemes Secure against Adaptive Chosen Ciphertext Attack. Available at <http://eprint.iacr.org/2001/108/>, 2001.
12. E. Fujisaki, T. Okamoto, D. Pointcheval and J. Stern. RSA-OAEP Is Secure under the RSA Assumption. In *Advances in Cryptology - CRYPTO 2001*, volume 2139 of *Lecture Notes in Computer Science*, pages 260-274. Springer-Verlag, 2001.
13. S. Goldwasser, S. Micali and R. Rivest. A Digital Signature Scheme Secure Against Adaptive Chosen-Message Attacks. *SIAM Journal on Computing*, 17(2):281-308, 1988.
14. Y. Zheng. Digital Signcryption or How to Achieve  $\text{Cost}(\text{Signature} \ \& \ \text{Encryption}) \ll \text{Cost}(\text{Signature}) + \text{Cost}(\text{Encryption})$ . In *Advances in Cryptology - CRYPTO '97*, volume 1294 of *Lecture Notes in Computer Science*, pages 165-179. Springer-Verlag, 1997.

## Appendix

Proof of Theorem 2

Our proof technique is similar to one used in [7]. We are going to run the adversary  $A$  in a simulated environment. We first describe our simulation before analysing how it could fail and showing how it could be used to invert the RSA function.

Our simulation must respond  $A$ 's queries to the random oracles  $G$  and  $H$  and the signcryption oracle. We denote the algorithms to do this  $G_{sim}$ ,  $H_{sim}$ , and  $S_{sim}$  respectively and we describe them below. To make our simulations sound we keep two lists  $L_G$  and  $L_H$  that are initially empty. The list  $L_G$  will consist of query/response pairs. At the end of the simulation we hope to find the partial pre-image of  $c^*$  among queries in  $L_G$ .

$G_{sim}(\omega)$ <p>If <math>(\omega, x) \in L_G</math> for some <math>x</math>:  Return <math>x</math></p> <p>Else:  <math>x \xleftarrow{r} \{0, 1\}^{n+k_0}</math>  Add <math>(\omega, x)</math> to <math>L_G</math>  Return <math>x</math></p>	$S_{sim}(m  r, (N_B, e_B))$ <p><math>x \xleftarrow{r} \mathbb{Z}_{N_A}^*</math>  <math>y \leftarrow x^{e_A} \pmod{N_A}</math>  Parse <math>y</math> as <math>s  \omega</math>  Add <math>(m  r, \omega, -, -, -)</math> to <math>L_H</math>  Add <math>(\omega, s \oplus (m  r))</math> to <math>L_G</math>  If <math>x &gt; N_B</math>, <math>x \leftarrow x - 2^{k-1}</math>  <math>c \leftarrow x^{e_B} \pmod{N_B}</math>  Return <math>c</math></p>
$H_{sim}(m  r)$ <p>If <math>(m  r, \omega, -) \in L_H</math> for some <math>\omega</math>:  Return <math>\omega</math></p> <p>Else:  <math>x \xleftarrow{r} \mathbb{Z}_{N_A}^*</math>  <math>z \leftarrow x^{e_A} \pmod{N_A}</math>  <math>y \leftarrow c^* z \pmod{N_A}</math>  Parse <math>y</math> as <math>s  \omega</math>  Add <math>(m  r, \omega, x, y, z)</math> to <math>L_H</math>  Add <math>(\omega, s \oplus (m  r))</math> to <math>L_G</math>  Return <math>\omega</math></p>	

Let us now analyse our simulation. Consider events that would cause the adversary's view in our simulated run to differ from its view in a real attack. Such an event could be caused by an error in  $G_{sim}$ ,  $H_{sim}$  or  $S_{sim}$ . We let AskG be the event that there is an error in  $G_{sim}$  and define AskH and SBad analogously.

It is easily verified that

$$\Pr[\text{AskG}] = 0. \tag{25}$$

An error in  $H_{sim}$  will only occur if it attempts to add  $(\omega, s \oplus (m||r))$  to  $L_G$  when  $G(\omega)$  is already defined. We conclude that

$$\begin{aligned}
\Pr[\text{AskH}] &\leq 2^{-k_1} \cdot \sum_{i=0}^{q_h-1} (q_g + q_s + i) \\
&= 2^{-(k_1+1)} \cdot q_h \cdot (2q_g + q_h + 2q_s - 1).
\end{aligned} \tag{26}$$

An error in  $S_{sim}$  will occur if it attempts to add  $(m||r, \omega, -, -, -)$  to  $L_H$  when  $H(m||r)$  is already defined. The only other possibility for an error in  $S_{sim}$  is attempting to add  $(\omega, s \oplus (m||r))$  to  $L_G$  when  $G(\omega)$  is already defined. We conclude that

$$\begin{aligned}
\Pr[\text{SBad}] &\leq 2^{-k_0} \cdot \left( \sum_{i=0}^{q_s-1} (q_h + i) \right) + 2^{-k_1} \cdot \left( \sum_{i=0}^{q_s-1} (q_g + q_h + i) \right) \\
&= q_s \cdot \left( 2^{-(k_0+1)} \cdot (2q_h + q_s - 1) + 2^{-(k_1+1)} \cdot (2q_g + 2q_h + q_s - 1) \right).
\end{aligned} \tag{27}$$

We also define the event  $\text{FBad}$  to be that when  $A$  outputs a valid forged sign-encryption  $c$  on some message  $m$ , but  $m||r$  was never a query to  $H_{sim}$ . Clearly we have

$$\Pr[\text{FBad}] \leq 2^{-k_1}. \tag{28}$$

We define the event  $\text{Bad}$  to be

$$\text{Bad} = \text{AskG} \vee \text{AskH} \vee \text{SBad} \vee \text{FBad}. \tag{29}$$

Let us consider the event  $A$  wins  $\wedge \neg \text{Bad}$  in our simulated run of  $A$ . If this event occurs then  $A$  outputs a forged sign-encryption  $c$  of some  $m$  such that  $(m||r, \omega, x, y, z) \in L_H$  for some  $r, \omega, x, y, z$ . Now, looking at the construction of  $H_{sim}$  we see that we have

$$(c/x)^{e_A} = (y/x^{e_A}) = (y/z) = (c^*z/z) = c^* \pmod{N_A}. \tag{30}$$

Therefore  $(c/x) \pmod{N_A}$  is the  $e_A$ -th root of  $c^*$  modulo  $N_A$  as required. We denote the event that we manage to find the  $e_A$ -th root modulo  $N_A$  of  $c^*$  by  $\text{Invert}$ . We see from (30) that

$$\Pr[\text{Invert}]_{sim} \geq \Pr[A \text{ wins} \wedge \neg \text{Bad}]_{sim}, \tag{31}$$

where the subscript  $sim$  denotes the fact that these are probabilities in our simulated run of  $A$ . We will denote probabilities in a real execution of  $A$  with the subscript  $real$ . From (31) and the definition of  $\text{Bad}$  we see that

$$\Pr[\text{Invert}]_{sim} \geq \Pr[A \text{ wins} \wedge \neg \text{Bad}]_{real} \geq \Pr[A \text{ wins}]_{real} - \Pr[\text{Bad}]_{real}. \quad (32)$$

The result now follows from (25), (26), (27), (28), (29) and (32).